

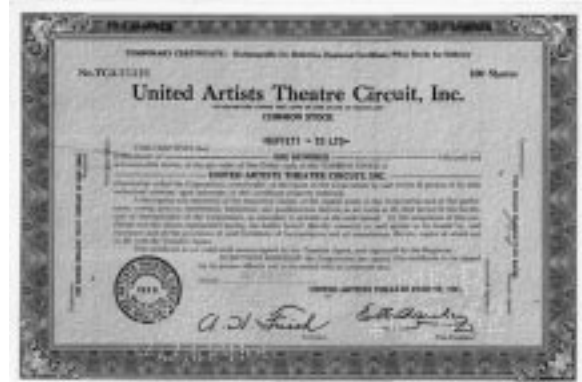
SIGNED STOCKS AND BONDS



BODIE BLUFF CONSOLIDATION MINING COMPANY SIGNED BY LELAND STANFORD

* 102

Ca. 1860's. California. Unissued certificate from the Bodie Bluff Consolidating Mining Company. A very attractive certificate printed by Agnew & Deffebach Printing; ornate border with mountain graphics, pink and yellow background. All the mines of Bodie Bluff listed in the vignette in the center of the certificate. Signed by President Leland Stanford, one of the most important western financiers. Considered one of the top western mining certificates. **LELAND STANFORD** (1824-1893) Railroad magnate. The Central Pacific brought together Stanford, Charles Crocker, Mark Hopkins and C.P. Huntington, commonly known as "The Big Four" into one of the most ambitious and important railroad projects in American History. The combined talents of these railroad greats accomplished the seemingly impossible task of connecting the Central and Union Pacific railroads. Scarce. Extremely Fine. \$1,200- up



BUFFETT-TD, LTD.
By *Warren E. Buffett*
General Partner

UNITED ARTISTS THEATRE CIRCUIT, INC STOCK SIGNED BY WARREN BUFFETT

* 104

1961, Maryland. Stock certificate for 100 shares of the United Artists Theatre Circuit, Inc. Brown\Black. Issued to Underwood Partnership, Ltd. and signed on accompanying transfer document by **WARREN BUFFETT** as its general partner. Buffett is perhaps the most well-known and successful investor in the world today. Certificates signed by Buffett have been scarce to date and this offers a fine opportunity to acquire one. Light punch cancellations not affecting Buffett's signature. \$375 - up

AVIATION STOCK ISSUED AND SIGNED TWICE BY COMMERCIAL AVIATION PIONEER JUAN TRIPPE



* 103

JUAN TRIPPE (1899-1981). Trippe was the founder of Pan Am. 1929, Delaware. An airline executive, born in Sea Bright, New Jersey, USA. He studied at Yale (1922), after having flown with the navy. In 1923 he organized Long Island Airways, and went on to form Aviation Corporation of

America, which became Pan American Airways in 1927. Under his strong leadership, the company compiled many firsts, including flying across the Atlantic and the Pacific, using American-made jets commercially, and offering round-the-world service in 1947. After World War 2 he championed low-cost airfares and introduced two classes. He ordered the first 747s (1966), and retired as chief executive officer in 1968. A stock certificate for 100 shares issued to and signed twice by "**J. T. Trippe**". The piece has a green border and background. Signed by "**J. T. Trippe**" on the front as president and signed again on verso. There is light punch cancellation and excellent. \$400 - up

COLUMBUS, CHICAGO AND INDIANA CENTRAL RAILWAY COMPANY ISSUED TO AND SIGNED BY WASHINGTON ROEBLING



Washington A. Roebling

* 105

1880, Ohio, Indiana & Illinois. Stock certificate for 100 shares of the Columbus, Chicago and Indian Central Railway Co. Black/Tan. Top center vignette of the three state seals from Ohio, Indiana and Illinois. Issued to and signed on verso by **WASHINGTON A. ROEBLING** (1837-1926). Roebling, an American engineer, helped his father build numerous famous bridges and completed the Brooklyn Bridge in 1883. He was also a mineralogist and donated his fabulous collection to the Smithsonian. Punch cancelled and very fine. \$225 - up



CHE GUEVARA

* 106

[CUBAN REVOLUTION] CHE GUEVARA (1928-1967) Popular name of Ernesto Guevara (de la Serna). Revolutionary leader, born in Rosario, Argentina. He trained as a doctor (1953), then played an important part in the Cuban revolution (1956—9), after which he held government posts under Castro. He left Cuba in 1965 to become a guerrilla leader in South America, and was captured and executed in Bolivia. 1960, Cuba. Exceedingly rare financial document signed by Guevara soon after his appointment as Cuban National Bank's president. The document, written in Spanish, is signed "Che" in dark ink, and is a provisional certificate for a bank bond from the Cuban National Bank. It is dated just weeks after Castro's triumphant overthrow and Guevara's new responsibilities as president of the Bank. \$1,500 - up

SCARCE BOND SIGNED BY COLORADO MINING OPERATOR SPENCER PENROSE



* 107

1901, New York. \$1000 bond bearing interest at 6%. Blue/Black. Choice engraved vignette of a large smelting operation surrounded by mountains. Signed as treasurer of the company by **SPENCER PENROSE** (1865-1939) Colorado mine operator and promoter, philanthropist. Arriving in Colorado Springs in 1892, Penrose embarked upon a career in real estate and mining that would become one of the great success stories of western business. Enormously profitable gold and copper mines, including the Utah Copper Company held by Penrose were acquired by the Guggenheim mining interests and by 1899, Penrose was immensely wealthy. Upon his death, after much philanthropic activity, Penrose's estate was still valued in excess of \$11,500,000. A highly attractive bond signed by this important western mining operator. Stamp cancelled and extremely fine. \$400-up



AN AMERICAN MERCHANTS UNION EXPRESS COMPANY STOCK ISSUED TO AND SIGNED ON VERSO BY PHILADELPHIA REFINER CHARLES LOCKHART SIGNED AS PRESIDENT BY WILLIAM FARGO

* 108

1869, New York. Stock certificate for 25 shares. Nice vignette of a horse-drawn wagon, and dock scene. Issued to and signed on verso by **CHARLES LOCKHART**. A principal in the firm of Lockhart, Frew & Company, he was the most senior man of the Standard group. He was first man to sell crude oil in Pennsylvania first to start a successful refinery and first to ship oil to England. Conservative, sharp, analytical and thoughtful, he was a man of few words, yet he brought a balance and wisdom to the group. Lockhart, Frew and Warden were entwined in a number of business ventures in the oil business. Signed as president by **WILLIAM FARGO** (1818-1881) got his start in the express business at age 13 through a 30 mile mail route. Sometime later, William Fargo served as a messenger with Wells & Company, the first express company to venture west of Buffalo. Fargo became a partner in Wells & Company and, in 1850 they joined with two other express companies to form the American Express Company which covered the eastern sector of the United States; Wells, Fargo & Company, formed in 1852, covered the western sector. The Merchants Union Express Company was founded in the wake of the Civil War and quickly grew. The American Express Company bought it with Fargo serving as its president and was renamed the American Merchant Union Express Company' in 1873, its name was changed back to the American Express Company. A superb association of these two men. Stamp and punch cancelled. Extremely fine. \$600 - up



OLIVER AMES SIGNS A BOSTON, NEWPORT AND NEW YORK STEAMBOAT COMPANY STOCK

* 109

Stock certificate for 10 shares. Black. Top center vignette of a steam side-wheeler and lower vignette of a sailboat. Signed as president by **OLIVER AMES** (1807-1877) Oliver, a manufacturer and railroad promoter, was the brother of Oakes Ames, and involved in the famous Credit Mobilier scandal. He served as acting president of the Union Pacific Railroad from 1866 to 1868, succeeding John A. Dix, and as a director until his death. Stamp and pen cancelled. \$150 - up



CHARTIERS RAILWAY COMPANY ISSUED TO AND SIGNED ON VERSO BY ANDREW MELLON

* 110

1890, Pennsylvania. Stock certificate for 20 shares in the Charters Railway Company. Black. Vignette of a steam locomotive crossing a bridge in the countryside. Litho. Issued to and signed on verso by **ANDREW MELLON**. Through his uncanny foresight, Andrew Mellon amassed one of the largest fortunes in American history, placing him among the most elite and powerful in corporate America. He organized numerous large oil properties in the 1890's eventually selling them to John D. Rockefeller's Gulf Oil; Mellon greatly increased his power base, possibly becoming the wealthiest man in America. Stamp and punch cancellations not affecting Mellon's signature on verso. Some ink bleed through on front from writing on verso. \$250 - up



HUNTINGTON SIGNS A NEWPORT NEWS AND MISSISSIPPI VALLEY COMPANY STOCK AS PRESIDENT

*** 111**
1887, New York. Stock certificate for 1,000 shares of the Newport News and Mississippi Valley Company signed as president by **COLLIS P. HUNTINGTON** (1821-1900). One of the most important railroad builders in American history. Orange. Spread eagle engraved vignette at the bottom center. Punch cancelled and in very fine condition.
\$300 - up



STOCK ISSUED TO AND SIGNED BY FILM MOGUL ADOLPH ZUKOR

*** 112**
1926, New York. Engraved stock certificate for 100 shares of The Irving Bank-Columbia Trust Company issued to and signed by **ADOLPH ZUKOR** (1873-1976). Founder of Paramount Pictures Studios, and one of the greatest film moguls of all time. Vignette of a seated allegorical female surrounded by symbols of agriculture, industry, and transportation, open chest with coins flowing out. On verso the legendary centenarian signed "Adolph Zukor.". Punch and stamp cancelled marks not affecting signature. Light wear at top, fold mark. Very good. \$300 - up



NORTHWEST EQUIPMENT COMPANY STOCK ISSUED TO AND SIGNED ON VERSO BY JOHN D. ROCKEFELLER

*** 113**
1888. Stock certificate for five hundred shares. Brown\Black. Issued to and signed on verso by **JOHN D. ROCKEFELLER** (1839-1937) Founder and one of the original partners of Standard Oil; Oil industry pioneer; Capitalist. The Northwest Equipment Company was organized in 1888. Many of the company founders and shareholders rank among the elite of the banking and business world including John D. Rockefeller and a number of other Standard Oil Company dignitaries. The company leased to the Northern Pacific a large number of steam locomotives and a wide variety of passenger and express cars, finally selling all of its equipment to the reorganized Northern Pacific Railway Company in 1897. Light punch cancellations one hole punch affects the f in Rockefeller signature.
\$2,000 - up



CINCINNATI, RICHMOND & FORT WAYNE RAILROAD COMPANY SIGNED BY SAMUEL J. TILDEN

*** 114**
1872, Indiana. Stock certificate for 2000 shares. Red. Vignette of small train at top center. Two attached revenue stamps at lower left. Signed by **SAMUEL J. TILDEN** (1814 - 1886). Railroad builder; Lawyer; Statesman. Although Tilden won the popular vote in the 1876 presidential election, Rutherford B. Hayes won the electoral vote and was thus declared the winner, thus setting off a controversy, which lasted for years. Pen cancelled and fine. \$200 - up



SEVERLY WOUNDED WHILE PROTECTING HIS FATHER DURING THE LINCOLN ASSASSINATION ATTACKS

*** 115**
1885, Northern Pacific Railway Stock certificate for ten shares issued to and signed by **FREDERICK SEWARD** (1830-1915) Assistant Secretary of State. An 1849 graduate of Union College. From 1849-1857 he served as secretary to his father, William H. Seward. From 1851-1861 he also held the position of associate editor of the Albany "Evening Journal". When his father was appointed U.S. Secretary of State under Lincoln, the younger Seward was appointed Assistant Secretary of State. On the night of April 14, 1865 he was slashed and his skull fractured protecting his father during the assassination attempt by Lewis Powell. Seward survived his injuries and again served as Assistant Secretary of State 1877-79 during the Hayes administration. He had also served in the New York State Assembly being elected in 1874.

From first newspaper reports of the assassination:[April 15] "It was Clarence A. Seward, instead of Wm. H. Seward, Jr., who was wounded. **Fred Seward was also badly cut, as were also three nurses, who were in attendance upon the Secretary, showing that a desperate struggle took place there. The wounds of the whole party were dressed. [April 17.]... Secretary Seward and his son continue in a low condition, and Mr. Fred Seward's life is precarious.**" The assailant hit Seward with his revolver so hard he fractured his skull in two places. Punch hole cancellations well away from Seward's signature, which is strong and clean. Stub is glue attached at left. A nice link to one of the most important events in American history. Extremely Fine. \$175 - up



© 18 1902 EASTMAN KODAK CO.
George Eastman
 Treasurer

A SCARCE CHICAGO, ROCK ISLAND & PACIFIC RAILWAY COMPANY BOND ISSUED TO EASTMAN KODAK AND SIGNED BY GEORGE EASTMAN

* 116

1899, New York. \$5000 bond bearing 4% interest. Gray\Black. Engraved vignette of a male portrait flanked by allegorical figures. Issued to Eastman Kodak & Company and signed on the verso as Treasurer for the company by **GEORGE EASTMAN**, (1854-1932). Photography pioneer; Manufacturer. In 1877, at the age of 23, Eastman spent over ninety-four dollars on photographic equipment and began learning the intricacies of print development. His realization of the need for small and lighter camera equipment and more practical developing processes led him to pursue the commercial potential of his fascinating endeavors. In 1884, he began searching for a transparent and flexible film which was placed into production a year later. The late 1880s brought about the beginning of a long association with Thomas Edison which would last for many years to come. Eastman emerged as one of America's leading industrialists in the 1890s, with a company capitalization of \$5,000,000. In 1893 and a recapitalization of \$8,000,000. in 1898, which spurred an incredible rate of growth for Eastman's company. Recognizing the need for employee loyalty (by 1900 he had 3000 employees), Eastman demonstrated his concern for his workers by instituting a number of employee benefit programs, shorter hours, safety appliances and social and medical facilities. The success of these policies is apparent as the company's workforce exceeded 15,000. by the year 1920. By this time, George Eastman was a very wealthy man. In 1921, his investments in War liberty bonds totaled 3/5 of the \$47,229,200. issued, this investment being typical of Eastman's desire to put his money to good use. His philanthropic activities were many and varied, his benefactions totaling well over \$75,000,000. at his death. Eastman never married and, with little family to enjoy his later years and wealth. George Eastman took his own life in 1932 at the age of 77. Signature remains uncanceled. Extremely Fine. \$1,250 - up



ALLEGHENY VALLEY RAILROAD COMPANY SIGNED TWICE BY HENRY PHIPPS

* 117

1861, Pennsylvania. Stock certificate for fifty shares issued to James L. Mooney of Pittsburgh. Henry Phipps signs as Witness on bottom, and on the stub. Multi-vignettes of train at station at top center, farmer and wife at center right and left and small stack at bottom center. **HENRY PHIPPS** (1839-1930). Capitalist; Manufacturer; Philanthropist. A childhood friend and neighbor of Andrew Carnegie, Phipps was already a successful businessman and manufacturer by the time he joined forces with Carnegie immediately after the Civil War. His major contribution to the Carnegie empire was his natural cautiousness, which resulted in the empire's steering a prudent financial course during the last quarter of the 19th century. After his retirement in 1901, Phipps devoted himself entirely to his philanthropic interests, which ran from parks and playgrounds to medical research and education. Pen cancelled, stub attached to left edge. Fine. \$800 - up

THE WOMAN'S JOURNAL SIGNED BY REFORMER HENRY BLACKWELL



* 118

1870, Massachusetts. Stock certificate for 1 share in The Proprietors of The Woman's Journal corporation. Black. Litho. Attached adhesive revenue stamp. 10 1/4" x 5 1/4" (including attached stub). Signed as treasurer by **HENRY B. BLACKWELL** (1825-1909), Founded in 1870 by Lucy Stone and her husband Henry Blackwell, The Woman's Journal,

which espoused the moderated philosophy of the American Association's women's movement, was the most influential voice in the struggle to grant women their right to vote. While another leading women's journal of the times, The Revolution, which rejected the National Association's more aggressive and radical views on women's rights, ceased publication in 1872 due to lack of funds, The Woman's Journal remained the foremost advocate of the women's rights movement. The Journal, to which the family invested their total energies throughout their lives, has remained a most authoritative historical record of women's rights; its historical, political and social significance cannot be overstated. Pen cancellation does not affect Blackwell's signature. \$300 - up



**VIRGINIA & TRUCKEE RAILROAD BOND
SIGNED BY WILLIAM SHARON**

* 119

1874, Nevada. \$1,000 bond bearing 10% interest. Black. Vignette of a western mountain scene with a building, railroad bridge and stage-coach. Signed as president of the company by **WILLIAM SHARON** (1821 - 1885). Prominent financier and pioneer in early Nevada development. Sharon moved to California in 1849 and engaged in business in Sacramento; moved to San Francisco in 1850 and was a dealer in real estate; moved to Virginia City, Storey County, Nev., in 1864 as manager of the branch of the Bank of California and became interested in silver mining. Sharon created a monopoly consisting of a network of mills and mines which survived and prospered through the exploitation of poorer ores between richer discoveries. With political machinations, Sharon won state subsidies to construct his Virginia and Truckee Railroad, which efficiently transported ore to mills while returning with other goods, including lumber. With William Ralston and D. O. Mills, Sharon controlled most of Comstock and all three created vast fortunes through these holdings. While serving as a Senator, Sharon accomplished little. The V&T was built in 1869 to connect Virginia City with the Carson River valley. By 1874 the "Queen of the Short Lines" had been extended to Reno and as many as 50 trains carrying passengers, supplies and ore traveled through Virginia City every day. Sharon's signature is affected by hole cancellations as is typical for this bond. A great Western signed bond. \$500 - up



**FIRST PRESIDENT OF AT&T
SIGNS NATIONAL
HEATING COMPANY STOCK
CERTIFICATE**

* 120

1888, New York. National Heating Company stock certificate for 40 shares. Green & Black with ornate border and large centered vignette of the heating plant. Signed by "*Theo N. Vail*"

THEODORE NEWTON VAIL (1845—1920) was an American entrepreneur who made his fortune in the telephone and mining business and became the first president of the Bell Telephone Company (later American Telegraph and Telephone or AT&T). President of the company for two separate tenures, he was instrumental in establishing telephone service, both local and long distance. A true visionary when it came to running a corporation, Vail oversaw the building of the first U.S. coast-to-coast telephone system, and it was his dedication to basic science that initiated a new research arm for AT&T's Bell Laboratories. He lost a good deal of his fortune in the district heating business when the Boston Heating Company failed in early 1889. Uncancelled and Very Fine.

\$300 - up



**RAILWAY BOND ISSUED TO
AND SIGNED BY JAMES
BUCHANAN DUKE AS
TRUSTEE FOR DORIS DUKE**
* 121

1919, South Carolina. \$50,000 bond of the Piedmont and Northern Railway Company bearing 5% interest. Red\Black. There is an attractive engraved vignette at the top showing two allegorical figures with a tobacco plant between them. The bond is issued to a Doris Duke under her trust dated May 2, 1917 and signed on verso as trustee by **JAMES BUCHANAN DUKE** (1856-1925) on the verso as president of the Southern Investment Company. Duke was a tobacco industrialist who used the new medium of advertising to create a huge market share. E bought many rival tobacco companies and eventually merged them all under the American Tobacco Company. In 1911, the Supreme Court, in its trust busting mode, ordered the American Tobacco Company to dissolve. The Southern Investment Company was likely holding court to skirt the Supreme Court's decision. Duke is rare on stocks, especially tobacco ones. An interesting bond illustrating the early placement of wealth into the trust of one of America's most prominent inheritors. Light punch cancellation not affecting Duke's signature. In excellent condition. \$600 - up

**SUPERB GRAPHIC MINNESOTA
GOLD MINING SIGNED BY HENRY
HASTINGS SIBLEY**

* 122

1866, Minnesota. Stock certificate for five shares. Black. A wonderful double-vignetted certificate depicting a superb mining scene with miners at work and sluices at center. Signed as President of the company by Henry Hastings Sibley and John S. Prince as Treasurer. **HENRY HASTINGS SIBLEY**. Fur trader who served as a delegate to the United States Congress from Wisconsin Territory in 1848-49 and from 1849-53 held the same office from Minnesota Territory. He also served as Governor of Minnesota during the period 1858-1860 and was a General in the Union Army during the Civil War. Revenue stamp attached. An exceptionally striking certificate both for its graphic and autographic interests. Uncancelled and Extremely Fine. \$600 - up





**RARE SOUTH PARK AND LEADVILLE
SHORTLINE RAILROAD CO. STOCK
CERTIFICATE SIGNED BY
CHARLES F. ADAMS**

* 123

CHARLES FRANCIS ADAMS, JR. (1835-1915). The grandson of John Quincy Adams, Charles was **President of the Union Pacific Railroad** (1884-90) . Union Civil War General. In 1865 he commanded the Fifth Massachusetts Cavalry, an African American regiment. As an historian and authority on the management of railways, he wrote *Railroads, Their Origin and Problems* as well as other works. 1889, Colorado. Stock certificate for ten shares issued to James Harris. Shares were valued at 100.00 each. Punch cancelled through signature. Nice Colorado state seal in the mountains with mine in the background vignette. **LONDON SOUTH PARK & LEADVILLE RAILROAD:** (L.S.P. & L. R.R.), 1882-1885. Changed to the South Park & Leadville Short Line Railroad. **The South Park & Leadville Shortline Railroad** 1885-1900. The railroad was abandoned in 1900. It is noted that the London, South Park and Leadville Railroad was a 6 mile spur up to the London Mines from the Park Country railroad terminal, London Junction. In 1884 it's population was 50 and it peaked at 300. It also bragged a 20 stamp mill. **Very rare certificate with Adams as President of a very short-lived, narrow gauge Colorado mining railroad.** Adams was ousted as President of the Union Pacific in 1890 by the forces of Jay Gould, one year after this certificate was issued.

"On February 16, 1882, the owners of the fabulously rich London mine — high above Mosquito Gulch—incorporated the London, South Park & Leadville Railroad to serve their mine; furthermore, they projected a line over the Mosquito Range connecting with Leadville. The railroad constructed the line as far as the London mill in 1882, starting at Alma Junction (also known as London Junction). The London, South Park & Leadville Railroad secured their own rolling stock, which consisted of one beautiful little steam locomotive and a half-dozen ore cars. A cable tramway conveyed the ore from the mine high on London Mountain down to the London mill. after considerable financial problems, the independent London, South Park & Leadville Railroad finally ended up in the hands of the Union Pacific. It was later operated by the Denver, Leadville & Gunnison, and was known as the South Park & Leadville Short-Line Railroad, incorporated on April 10, 1885." \$800 - up



**J. PAUL GETTY'S PERSONAL SHARES IN
THE OKLAHOMA OIL CORPORATION
ISSUED TO AND SIGNED AS PRESIDENT**

* 124

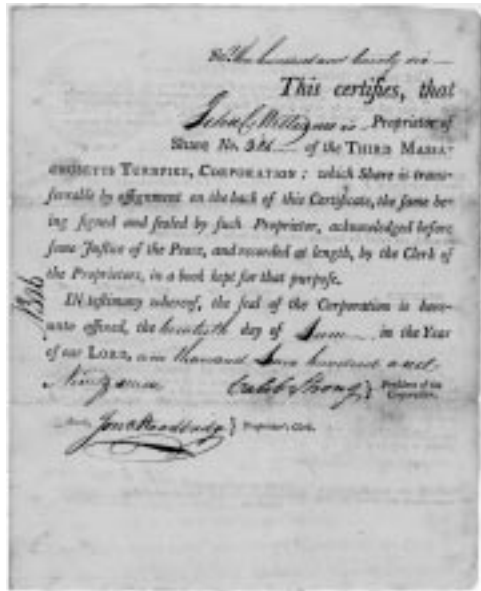
1928, Arizona. Stock certificate for 600 Shares of the Oklahoma Oil Corporation. Vignette at top left of oil derricks. Issued to and signed as president of the company by J. Paul Getty as President. **J. PAUL GETTY** (1892 - 1976). Oil magnate; Art collector. A fabled oil executive, J. Paul Getty brought the Getty Oil Company to the status of an "eighth sister" among the giants in the petroleum business. Shares being personally issued to Getty on this company are scarce. Stamp cancelled and very fine. \$1250 - up



**LINCOLN MOTOR COMPANY
STOCK CERTIFICATE SIGNED BY
AUTOMOTIVE PIONEER HENRY LELAND,
FOUNDER OF CADILLAC**

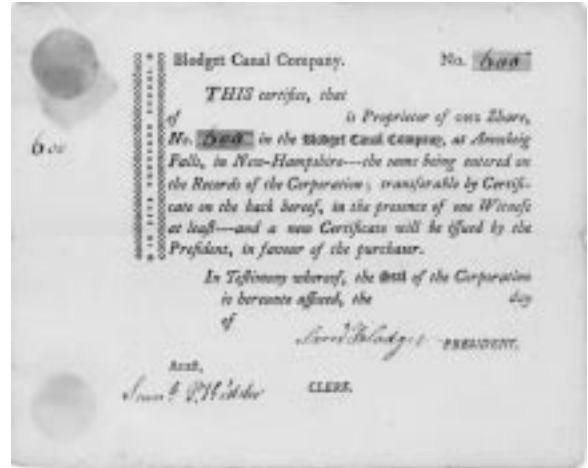
* 125

1918, Michigan Stock certificate for 250 shares of the Lincoln Motor Company. Green/Black. Vignette of a spread eagle at top center. Litho. Signed as president by **HENRY M. LELAND** (1843 - 1932), automobile manufacturer and founder in 1904 of Cadillac Motor Car Co. Leland also founded this Lincoln Motor Company. A scarce automotive certificate signed by this important, early automotive pioneer. Lightly pen cancelled and extremely fine. \$1,250 - up



VERY SCARCE EARLY THIRD MASSACHUSETTS TURNPIKE STOCK SIGNED AS PRESIDENT BY CALEB STRONG

*** 126**
 1797, Massachusetts. Stock certificate for "Share no. 326 of the Third Massachusetts Turnpike, Corporation..." Black. The company was chartered on March 9, 1797 and operated from Northampton to Pittsfield, Massachusetts. Signed as president of the company by **CALEB STRONG** (1745 - 1819). Federalist statesman, lawyer, Governor of Massachusetts. A fine, scarce eighteenth century certificate. Uncancelled and Very Fine. \$1,500 - up



AN EXTREMELY RARE AND EARLY BLODGET CANAL COMPANY STOCK SIGNED BY SAMUEL BLODGET

*** 127**
 Certificate for one share. Signed as president by **SAMUEL BLODGET** (1724-1807). Blodget was a New Hampshire merchant, manufacturer and canal builder. He built a canal after a long and persistent effort. At first, he lost five years of work and \$20,000 when flooding destroyed his first canal. He obtained another charter from the state and raised \$9,000 by lottery. With additional lottery funding, Blodget completed his canal in 1807; it boasted nine forty-five foot tall locks at a cost of \$50,000. Wax seal on left edge, uncanceled and very fine. A very scarce stock signed by the canal pioneer. \$750 - up



SAMUEL BLODGET, JR SELLS LAND IN WASHINGTON D.C. THROUGH A LOTTERY PLAN

*** 128**
SAMUEL BLODGET, JR. (1757-1814). Blodget, the son of the famed canal builder, designed the first Bank of the United States. He soon began to buy Washington, D.C. real estate and made money; he was ruined by his real estate lotteries and he was put in debtor's prison. Yet even while imprisoned, he raised funds for a national university. DS. 1pp. 8" x 13 1/4". Phila. 25th Feby 1792. A document signed "*Sam Blodget Junr*", selling one lot of Washington, D.C. land in a lottery: "*In Consideration of fifty Specie Dollars in hand, and for a further sum of one hundred Dollars to be paid before completion, I SAMUEL BLODGET, junior, of Boston, State of Massachusetts, do hereby bind myself, my heirs and assigns, to convey, by an ample Deed to John Dewhurst his heirs or assigns, ONE LOT OF LAND, within the City of WASHINGTON. - The situation of said Lot shall be determined by lot, in equal chance with more that five hundred Lots to be laid out by Government according to the Plan of the said City, and within the Boundaries of that tract, well known by the name of the JAMAICA FARM...containing four hundred acres...The manner, the place, and the day for determining the just situation of this lot, shall (within a reasonable time after the partition lines are formed) be inserted in two or more public papers, one of which shall be the Gazette of the United States; and these notifications shall precede the allotment in at least three months. - The final Payment shall be made at the Bank of the United States...*". Blodget's lottery plan for Washington was ambitious. When he learned that the area was going to be the Federal City, he bought land in the hopes of making money as its worth escalated. He prepared a design for the Capitol building and in 1793, he was appointed Superintendent of the Buildings. At first, authorities approved of his lotteries but when they failed for lack of interest, they discredited him. Interestingly, one of the proposed top prizes was a hotel to cost \$50,000 designed by James Hoban, the architect of the White House. Other winnings included houses worth \$30,000. All further proceeds were to fund a national university. Very Fine. \$1,250 - up



A SCARCE ATLANTIC AND PACIFIC RAILROAD COMPANY BOND SIGNED BY OLIVER AMES

*** 129**
1871, New York. Bond for \$1,000. Central Division Land Grant bond of the Atlantic and Pacific Railroad Company bearing interest at 6%. Brown/Black. With orange imprinted revenue at right. Large panoramic view of mountains with steam locomotives and a riverside town. Litho. Signed on verso as trustee by **OLIVER AMES**, (1807-1877). Manufacturer, Railroad promoter and official. Oliver was the brother of Oakes Ames, and involved with him in the famous Credit Mobilier scandal. He served as acting president of the Union Pacific RR from 1866- 68, succeeding John A. Dix, and as a director until his death. Few men were better known in the industrial world during his time. Some archival tape repair at center fold on verso. Lower right corner missing far away from any printing. Uncancelled and Fine. Scarce. \$500 - up



AUSTRALASIAN PACIFIC MAIL STEAM PACKET COMPANY ISSUED TO THOMAS BARING

*** 130**
1852, England. Stock certificate for twenty pounds issued to one of the most influential financiers of his time, "Thomas Baring Esq. of Bishopsgate Street." Signed below by the Court of Directors. In 1852, the Australasian Pacific Mail Steam Packet Co. was formed as a joint venture made by directors of the Royal Mail S.P.Co and Pacific Steam Navigation Company. **THOMAS BARING** (1799-1873): Grandson of

Francis Baring, founder of the financial institution Baring's Bank. Thomas became a managing partner at the bank and was created the 1st Earl of Northbrook in 1866. He supported the North in the Civil War and advanced millions for the purchase of arms and ironclads. Later, Baring had brought his bank to such a position of power that it was able to underwrite the British war effort in South Africa. \$200 - up

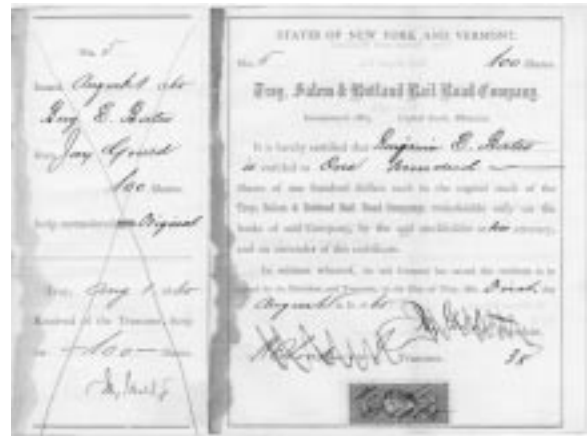


NATIONAL TRANSIT COMPANY STOCK ISSUED TO DARIUS O. MILLS AND SIGNED AS PRESIDENT BY HENRY H. ROGERS

*** 131**
1899, Pennsylvania. Stock certificate for 535,200/972,500 of one share. Black\Orange. Spread-eagle vignette. Issued to though not signed by **DARIUS O. MILLS** (1825-1910). Merchant; Banker; Philanthropist. Following the discovery of gold in California in 1848, Mills set out with the necessary money to begin a merchandising or banking business buying gold dust, selling goods and dealing in New York exchange. His success led to the formation of the Bank of D.O. Mills & Co. in 1850 which thereafter led to the accumulation of a large fortune.

Signed as president by **HENRY H. ROGERS HENRY H. ROGERS** (1840-1909). Industrialist; Financier. Rogers was associated with Standard Oil from 1874 until his death and during this time played an instrumental role in the successful organization and development of the company. He is truly one of the premier organizational geniuses in American financial history. The National Transit Company was formed in 1881 to own and operate pipelines for the Standard Oil Trust.

A nice association of these two American business giants on an important Standard Oil company. Stamp and punch cancelled, the latter lightly affects Roger's signature. \$400 - up



ONE OF JAY GOULD'S FIRST RAILROAD VENTURES SIGNED TWICE AS PRESIDENT TROY SALEM AND RUTLAND RAIL ROAD STOCK CERTIFICATE ISSUED TO WILLIAM BATES, NAMESAKE OF BATES COLLEGE

*** 132**
1865, New York. Stock Certificate #5 and stub for 100 shares in the Troy Salem and Rutland Rail Road. This company was one of Gould's earliest railroad deals, the success would eventually consolidate his first railroad purchase, the Rensselaer and Saratoga Railroad, and set him on his road to legendary fortune and plunder. Issued to **William E. Bates**, Boston financier and manufacturer whose mills dominated the Lewiston riverfront and the man for whom the famous College was named.

JAY GOULD (1836 - 1892) Railroad magnate; Financier; Stock market manipulator. At his death, his fortune was estimated to be \$72 million.

BATES COLLEGE: Founded in 1855 by abolitionists, Bates was the first coeducational college in New England, admitting students without regard to race, religion, national origin, or sex. In the mid-19th Century, Oren B. Cheney, a Dartmouth graduate and minister of the Freewill Baptist denomination, conceived the idea of founding the Maine State Seminary in Lewiston. Within a few years the seminary became a college, and it was Cheney who obtained financial support from **Benjamin E. Bates, the Boston manufacturer for whom the College was named.**

Fold marks, Ink cancel through Gould's signature, on stock, On stub, a nice crisp Jay Gould autograph. Glue remnants on left border, Revenue stamp affixed. \$750 - up

A 15% BUYER'S PREMIUM WILL BE ADDED TO THE HAMMER PRICE OF EACH LOT

CIVIL WAR PERSONALITIES ON STOCKS



RAILROAD STOCK CERTIFICATE ISSUED TO AND SIGNED ON VERSO BY COAL MAGNATE HENRY CLAY FRICK

* 133

1891, Pennsylvania. Stock certificate for 25 shares of the Pittsburgh, Virginia & Charleston Railway Co. Brown/Black. Vignette of a steam locomotive in upper left corner. Litho. Issued to H. C. Frick and signed by him on verso. **HENRY CLAY FRICK** (1849 - 1919). Steel and coke manufacturer, known as "The Coke King"; Capitalist. At the age of twenty-one, Frick, borrowing money from Judge Thomas Mellon achieved success in the coke business and by the age of thirty had created a net worth of \$1,000,000. In 1881, Andrew Carnegie acquired stock in Frick's company, with a split between the two occurring over labor problems in 1887. Two years later, Frick was invited to acquire an interest in the Carnegie Brothers steel company, becoming chairman and reorganizing the business which was in a discouraging state of condition. Twelve years later, he played an essential role in bringing about the sale of the company into the new corporation being formed as United States Steel Corp. A Scarce autograph on stocks. Stamp and punch cancelled and extremely fine. \$1,000 - up



CONFEDERATE 500 POUND STERLING ERLANDGER BOND SIGNED BY JOHN SLIDELL

* 135

June 1, 1863. For 3 Million Sterling / 75 Millions franc/8,000 pounds of cotton. Black with olive coupons. Engraved vignette of Liberty holding the Confederate "Stars and Bars" and gazing out to sea. Signed by John Slidell (1793-1871); Confederate diplomat from Louisiana; U.S. Representative and Senator. This bond was a "secured loan convertible on demand by the holder into cotton at 6 pence the pound when cotton in Europe was 24pence the pound" (Financial Failure and Confederate Defeat by Douglas B. Ball). It is also signed by Franco-German financier Emil Erlanger. These "Cotton Loan" bonds are among the highest quality printed debt issue of the Confederacy. Partial coupons attached at left and right. Some aging. Exceptionally nice Slidell autograph. Uncancelled and fine. \$650 - up

Battle. Black. Vignette of a steam locomotive at top center. Litho. Revenue Stamp affixed. Signed as president by **WILLIAM RUFFIN COX** (1832 - 1919). Confederate general during the Civil War. Pen and cancellations not affecting signature. Fine. A scarce certificate signed by this general. \$175 - up



LITTLE MIAMI RAILROAD COMPANY STOCK CERTIFICATE SIGNED BY DAHLGREN CANNON INVENTOR

* 137

JOHN DAHLGREN (1809-70) Union Rear Admiral - Pennsylvania. An ordnance expert, Dahlgren invented the cannon which bore his name, and when assigned to Charleston, South Carolina as Commander of the South Atlantic Blockading Squadron, was able to observe its effects.

1859, Ohio. Stock certificate for 9 shares in the Little Miami Railroad Company, Signed, Cincinnati, Ohio, September 21, 1869, "J.A. Dahlgren," also signed by his wife Madeline, "M.V. Dahlgren." 5. 1/2" X 9", Attractive vignette of early train at the station, smaller horse plow vignette below. Evenly toned and lightly soiled, with several folds; scattered stains and a few pinholes, as well as cross-cut cancellations, well away from signatures. An unusually nice combination. \$250 - up



JOLIET AND NORTHERN INDIANA RAILROAD COMPANY SIGNED BY CORNELIUS VANDERBILT

* 134

1882, New York. Stock certificate for four shares. "As provided for by the

operating contract existing between this Company and the Michigan Central Railroad Company... Shares 100.00 each. "Cancelled June 8th 1895" in red ink written across the certificate. Three punch holes affect Vanderbilt's signature, but still very acceptable. **CORNELIUS VANDERBILT** (1843-99) Railroad executive. The first son of William H. Vanderbilt, Cornelius succeeded to the Chairmanship of the New York Central and Hudson River and the Michigan Central Railroads. Upon his father's death, he came to be regarded as the head of the family and the chief director of its railroad empire. He constructed "The Breakers" in Newport, Rhode Island, and contributed greatly to numerous philanthropic endeavors. \$275 - up



CHATHAM RAIL ROAD COMPANY STOCK SIGNED BY CONFEDERATE GEN- ERAL WILLIAM RUFFIN COX

* 136

1868, North Carolina. Stock certificate for one share of the Chatham Rail Road Company issued to R. H.



A RARE GRAND BELT COPPER COMPANY STOCK SIGNED BY GEORGE McCLELLAN AS PRESIDENT

* 138

1885, New York. Stock certificate for 100 shares of the Grand Belt Copper Co. Lovely engraved triple-vignetted stock depicting an eagle flanked by a scene of miners at work and a farmer operating a horse-drawn plow. Signed as president of the company by **GEORGE McCLELLAN** (1826-1885). Born in Philadelphia, graduated from the U.S. Military Academy in 1846. Union Army commander and general in chief of all armies during the Civil War. One of only 16 pieces which surfaced two decades ago. Uncancelled and Extremely Fine. \$1,250 - up



RALEIGH AND GASTON STOCK SIGNED AS PRESIDENT BY CONFEDERATE GENERAL LAWRENCE O'BRYAN BRANCH

* 140

LAWRENCE O'BRYAN BRANCH (1820-1862). Confederate General during the Civil War. Branch served with Stonewall Jackson and took part in the battles of Hanover Court House, Seven Days, Cedar Run, Second Manassas, Fairfax Court House, Ox Hill, Harper's Ferry and Antietam, his final battle where he took a bullet in the head and died. Had he not died early in the war he would likely have been an important guiding figure in the later days of the Confederate army. 1853, North Carolina. Stock certificate for 1 share. Black. Large woodcut vignette of an early steam locomotive. Litho. Signed as president by Branch. Uncancelled and Very Fine

\$275 - up



U.S. TREASURY TRANSFER SIGNED BY CIVIL WAR GENERAL AND TREASURY SECRETARY HOWELL COBB

* 139

HOWELL COBB (1815 - 1868). Senator; Governor of Georgia (1851-53); U.S. Secretary of the Treasury under President Buchanan (1857-60); Major General Confederate Army. DS. Partly-printed "Secord of Transfer" document. 9" x 4 1/2". Washington, Dec. 28, 1859. "Pay to the order of Asst. Treasurer, San Francisco, Five thousand Dollars.....". Signed by Howell Cobb as Secy. of the Treasury. Beautiful vignette of the capitol at top right, portrait of George Washington at left border. Very Fine \$300 - up



VIRGINIA AND TENNESSEE RAILROAD STOCK SIGNED BY WILLIAM MAHONE

* 141

1869. Lynchburg, Virginia. Bond for \$100. Top center engraved vignette of a farm. Signed as president by **WILLIAM MAHONE** (1826-1895), Confederate general. Punch cancellations affect Mahone's signature.

\$125 - up



CONFEDERATE GENERAL THOMAS M. LOGAN

* 141A

1889, Washington. Stock certificate for 50 shares. Green/Black. Engraved vignette of a steam locomotive at upper right, manufacturing scene at upper left. Issued to and signed on verso by **THOMAS M. LOGAN** (1840 - 1914). Confederate brigadier general. Stamp and punch cancellations not affecting Logan's signature. Very Fine. \$225 - up